

FNS40821 Certificate IV in Finance and Mortgage Broking

Release 2.0

Duration

Calendar Year: 52 weeks
Academic Year: 36 weeks
Terms: 4 Terms x 9 weeks each (Total 36 weeks)
Holidays & Study Breaks: 16 weeks – 4 x 4 weeks
Study Type: Full Time, 20 hours / week

Location

Level 6, 8 Quay Street, Haymarket NSW 2000

Course Fee

\$10,000.00

Application Fee*

A non-refundable Administration Fee of \$350 is to be paid with the deposit.

Additional Fees*

Material Fees: \$200 (Material Fee covers cost of printing of class material, class tests/quiz, and assessment cover forms).

*Any fee changes will not affect enrolled students. New fees will apply to new enrolling students.

#Smart and skilled funding is available for domestic students for this qualification. Please enquire with SBI.

Assessment Arrangements

Assessment is both formative and summative and a holistic approach has been applied where possible. Evidence gathered is a combination of observation through simulated work environment, written reports, case studies, question and answers, self-assessments and third-party reports (if applicable).

Delivery Modes

All training will be delivered using a blended mode (face to face and online), including use of simulated situations where required.

Emphasis will be made on simulating “real life situations” to develop the skills identified in the “foundation skills” for this qualification.



Phone: 02 9211 4958

Email: info@sbi.edu.au

Website: www.sbi.edu.au

Address: Level 6, 8 Quay St, Haymarket NSW 2000;

RTO ID: 45097

CRICOS Code: 04127H



SYDNEY
**BUSINESS
INSTITUTE**



NATIONALLY RECOGNISED
TRAINING

Sydney Business Institute

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Address: Level 6, 8 Quay Street, Haymarket NSW 2000 | CRICOS Provider Code: 04217H

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Entry Requirements

a) English language equivalence requirements

- English is the student's first language; or
- IELTS 5.0 with no sub band scores less than 4.5; or
- Completion of at least one year of full-time study at Australian secondary, post-secondary or tertiary level; or
- Completion of the English for Academic Purposes (EAP) program at EEVI or an ASQA / TEQSA Accredited Language Centre; or
- Pass SBI's Placement Test.

b) Educational and other qualifications, skills, and other prerequisites

- Successful completion of Australian year 11 or equivalent; OR
- Successful completion of an AQF recognised Certificate III in Accounting, Finance, or other relevant qualification; OR
- Substantial work experience in a related field.
- Must be 18 years of age or over at the time of course commencement.

Pathways from the qualification

After achieving this qualification candidates may choose to undertake studies at Diploma level, e.g., FNS50322 Diploma of Finance and Mortgage Broking Management at Sydney Business Institute.

This financial services qualification is designed for: Employees who work in finance and mortgage broking with the responsibilities of:

- Recommend loan combinations to meet clients' needs;
- Assess prospective borrowers' eligibility to obtain finance and their capacity to service debt;
- Provide guidance to others and have limited responsibility for the output of others.



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Course Description

This qualification reflects the role of individuals working in finance and mortgage broking. Individuals in these roles apply theoretical and technical knowledge and skills to work autonomously and exercise judgement in completing routine activities relating to mortgage and finance broking. It includes developing skills and knowledge in loan processing operations, making sound and compliant lending decisions, and developing productive client relationships.

Recognition of Prior Learning

Sydney Business Institute (SBI) supports the national policy of recognition of qualifications and Statements of Attainment issued by other Registered Training Organisations (RTO), regardless of the location, provided that the RTO is registered to offer units of competency which exist within the qualification offered by SBI and which may also exist in other Training Packages. An application can be made for RPL when the student believes that they have already attained the necessary skills and competencies elsewhere (work other study etc.). An RPL application form is available from the Student Support Officer upon request.

The student will be required to provide documentation to support their application. The application will be processed and an assessment made as to the success or otherwise of the application. The student will be notified in writing of the result. The Student must sign (or otherwise accept) the 'Record of Course Credit Granted'.



UNITS OF COMPETENCY

CODE	TITLE
FNSCUS511	Develop and maintain professional relationships in financial services industry
FNSFMB411	Prepare loan applications on behalf of clients
FNSFMB412	Identify client needs and present broking options
FNSFMB512	Identify and develop credit options for clients with special financial circumstances
FNSFMB513	Present credit options to clients with special financial circumstances
BSBPEF501	Manage personal and professional development
FNSFMK515	Comply with financial services regulation and industry codes of practice
FNSINC514	Apply ethical frameworks and principles to make and act upon decisions
BSBESB401	Research and develop business plans
BSBLDR523	Lead and manage effective workplace relationships
FNSINC411	Conduct work according to professional practices in the financial services industry
FNSINC412	Apply and maintain knowledge of financial products and services

C - Core E - Elective

This qualification is made up of thirteen units of competency (ten core units and three electives) the units of competency are stand alone and not sequenced in any specific order.

Equipment

Students will need to do some self-study as well as assessment preparation throughout their course of study. As a result, access to a computer as well as internet access needs to be available to students (it is highly recommended that students purchase their own device to ensure that there is no limitation). In addition, SBI has made a fully equipped computer lab, free Wi-Fi access as well as a library available to students which they can use for self-study during SBI business hours.

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